

05-28-02

MPA

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Date of Deposit: May 25, 2002

I hereby certify that this paper and accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, Box Patent Application.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Howie CHOSET and Elie SHAMMAS

Serial No.: 10/083,705

Conformation No.: 1527

For: ORIENTATION PRESERVING ANGULAR SWIVEL JOINT

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS AND COMPLETION OF  
PATENT APPLICATION**

In response to the Notice to File Missing Parts of Nonprovisional Application (hereinafter referred to as the "Notice to File Missing Parts") mailed on March 26, 2002, Applicants submit the following items for completion of this application:

1. A return copy of the Notice to File Missing Parts (2 pages);
2. Declaration and Power of Attorney document, executed by each of the above-named inventors (3 pages);

3. Applicants claim small entity status for this application under 37 C.F.R. § 1.27;
4. A check in the amount of **\$435.00** in payment of the small entity filing fee (as estimated in the attached Fee Transmittal sheet), including the surcharge set forth in 37 C.F.R. § 1.16(l); and
5. A substitute specification (22 pages) in compliance with 37 C.F.R. § 1.52, as requested in the Notice to File Missing Parts. Pages 16, 17 and 18 of the originally filed specification have been replaced with new pages 16, 17, and 18 in which the hand lettering has been removed.

Respectfully submitted,

Dated: May 25, 2002

  
\_\_\_\_\_  
Frederic Farina  
Reg. No. 48,467  
Agent for Applicants



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/083,705	02/26/2002	Howie Choset	

Frederic Farina  
 1238 Huntington Drive  
 South Pasadena, CA 91030



**CONFIRMATION NO. 1527**

**FORMALITIES LETTER**



\*OC000000007714823\*

Date Mailed: 03/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain hand lettering.

*A copy of this notice MUST be returned with the reply.*

05/30/2002 RMEBRAH 00000113 10083705

01 FC:201  
 02 FC:205

370.00 OP  
 65.00 OP

B. Habtewold

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAY 25 2002  
PATENT & TRADEMARK OFFICE  
SC-103

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 435.00)

## Complete if Known

Application Number	10/083,705
Filing Date	02/26/2002
First Named Inventor	Howie Closet
Examiner Name	
Group Art Unit	
Attorney Docket No.	

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	370
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$ 370)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims
Independent Claims	-20** = <input type="text"/> X <input type="text"/> = <input type="text"/>
Multiple Dependent	-3** = <input type="text"/> X <input type="text"/> = <input type="text"/>

Fee from below	Fee Paid
-20** = <input type="text"/> X <input type="text"/> = <input type="text"/>	
-3** = <input type="text"/> X <input type="text"/> = <input type="text"/>	

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

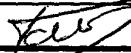
SUBTOTAL (2) (\$ 0)

\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	65
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 65)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Frederic Farina	Registration No. (Attorney/Agent)	48,467
Signature		Telephone	(323) 982-1408
		Date	5/25/02

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.